

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

Complete if Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

| | | | | | |
|-------|---|----|---|------------------------|------------------------|
| Sheet | 1 | of | 1 | Application Number | 10/802,823 |
| | | | | Filing Date | March 18, 2004 |
| | | | | First Named Inventor | Shiv SRIVASTAVA et al. |
| | | | | Art Unit | 1645 |
| | | | | Examiner Name | Stephen Rawlings |
| | | | | Attorney Docket Number | 04995.0053-01000 |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
|---|--------------------------|--|--|--|---|
| Examiner Initials | Cite No. ¹ | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|--------------------------------|--|--|--------------------------|
| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

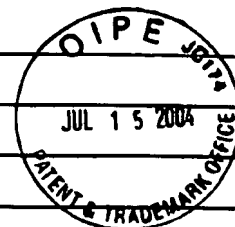
| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|--------------------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
| SR | | GenBank, Accession No. AF099810, GI:3800891, 193824 bp DNA, Oct. 28, 1998. | |
| ↓ | | GenBank, Accession No. AC006925, GI:4417322, 176186 bp DNA, Mar. 16, 1999. | |
| ↓ | | Office Action dated October 22, 2004, from European Application No. 00 048 373.2. NOT PUBLISHED | |
| | | | |
| | | | |

| | | | |
|-----------------------|---|--------------------|---------|
| Examiner Signature |  | Date Considered | 5/24/07 |
|-----------------------|---|--------------------|---------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|------------------------|------------|------------|
| Atty. Docket No. | 04995.0053-01000 | Appln. No. | 10/802,823 |
| Applicant | Shiv SRIVASTAVA et al. | | |
| Filing Date | March 18, 2004 | Group: | 1645 |



U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|------|-------|-----------|----------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----------------|------------------|---------|-------|-----------|-----------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|--|
| SR | Petrovics et al., "Elevated expression of <i>PCGEM1</i> , a prostate-specific gene with cell growth-promoting function, is associated with high-risk prostate cancer patients," <i>Oncogene</i> , vol. 23, pp. 605-611 (2004) (7 pages). |
| ↓ | Genbank, Accession No. AC003046, GI:2583140, 229335 bp DNA, November 3, 1997, http://www.ncbi.nlm.nih.gov/entrez/viewer.fcgi?2583140:OLDID:4458717 , website printout dated June 18, 2004 (60 pages). |
| | |
| | |
| | |

| | | | |
|---------------|--|---|----------------|
| Examiner | <i>[Signature]</i> | Date Considered | <i>5/24/07</i> |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |
| Form PTO 1449 | | Patent and Trademark Office - U.S. Department of Commerce | |

20040318

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

| | | | | | | | |
|---|---|-----------------|----------|---|-------|-----------|----------------------------|
| Atty. Docket No. 4995.0053-01 | | | | Serial No. Rule 1.53(b) cont. of 09/534,072 | | | |
| Applicant Shiv SRIVASTAVA et al. | | | | | | | |
| Filing Date March 18, 2004 | | | | Prior Group: 1642 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initial* | | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
| | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
| SR | | WO 99/00498 | 01/07/99 | PCT | | | |
| SR | | WO 95/19434 | 07/20/95 | PCT | | | |
| | | | | | | | |
| | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| SR | GenBank, Accession No. AC013401, GI:6289254, 163807 bp DNA, Nov. 9, 1999. | | | | | | |
| | GenBank, Accession No. AC013401, GI:8050939, 170695 bp DNA, May 24, 2000. | | | | | | |
| | GenBank, Accession No. AC013401, GI:8099084, 172760 bp DNA, May 26, 2000. | | | | | | |
| | GenBank, Accession No. AC013401, GI:8569780, 169700 bp DNA, July 7, 2000. | | | | | | |
| | "AC003046," EBI Database XP002143197. | | | | | | |
| | Srikantan et al., "Structure and Expression of a Novel Prostate Specific Gene:PC-GEM1," <u>Proc. American Assoc. Cancer Research Annual</u> , XP000929230, Vol. 40, p. 37 (March 1999). | | | | | | |
| | Bussemakers et al., "A New Prostate-Specific Marker, Strongly Overexpressed In Prostatic Tumors," <u>Urological Research</u> , XP002074305, Vol. 25, No. 1, p. 76 (February 1997). | | | | | | |
| | Wang et al., "Genes Regulated by Androgen in the Rat Ventral Prostate," <u>Proc. Nat'l. Acad. Sci., U.S.A.</u> , Vol. 94, pp. 12999-13004 (November 1997). | | | | | | |
| | "AC013401," EBI Database XP002143200. | | | | | | |
| | <u>International Search Report</u> <i>NON-SPECIFIC, INCOMPLETE, NOT PUBL.,</i> | | | | | | |
| Examiner <i>1 L</i> | | | | Date Considered <i>5/24/07</i> <i>NOT PROVIDED</i> | | | |
| *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |
| Form PTO 1449 | | | | Patent and Trademark Office - U.S. Department of Commerce | | | |

| | | | | | | | |
|---|--|-----------------|------|---|-------|-----------|----------------------------|
| Atty. Docket No. 4995.0053-01 | | | | Serial No. Rule 1.53(b) cont. of 09/534,072 | | | |
| Applicant Shiv SRIVASTAVA et al. | | | | | | | |
| Filing Date March 18, 2004 | | | | Prior Group: 1642 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| Examiner Initial* | | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
| | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| SR | Srikantan et al., "PCGEM1, a Prostate-Specific Gene, Is Overexposed in Prostate Cancer", <u>Proc. Nat'l. Acad. Sci.</u> , Vol. 97, No. 22, pp. 12216-12221 (October 24, 2000). | | | | | | |
| | Srikantan et al., "Identification of Prostate Cancer Associated Novel Gene Expression Alterations", <u>Proc. American Assoc. Cancer Research</u> , Vol. 39, p. 362 (March 1998). | | | | | | |
| | Srikantan et al., "Identification of Prostate Cancer Associated Novel Gene Expression Alterations", <u>USUHS Research Day-Graduate Student Colloquium</u> , p. 164 (April 1-2, 1998). | | | | | | |
| ↓ | Srikantan et al., "Identification of Prostate Cancer Associated Novel Gene Expression Alterations", <u>J. Urology</u> , AUA 93 rd Annual Meeting, p. 40 (May 30-June 4, 1998). | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Examiner | A | | | Date Considered 5/24/07 | | | |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |
| Form PTO 1449 | | | | Patent and Trademark Office - U.S. Department of Commerce | | | |